

Docket No.: 50325-076

#3 Sector  
\$  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

SUNIL K. SRIVASTAVA

Serial No.: 09/408,420

Group Art Unit: 2766

Filed: September 29, 1999

Examiner: Not Yet Assigned

For: METHOD FOR OVERCOMING THE SINGLE POINT OF FAILURE OF THE  
CENTRAL GROUP CONTROLLER IN A BINARY TREE GROUP KEY EXCHANGE  
APPROACH

RESPONSE TO NOTICE  
OF MISSING PARTS OF APPLICATION

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

In response to the Notice To File Missing Parts of Application dated October 21, 1999,  
submitted herewith are the following documents:

1. Declaration and Power of Attorney (which is in compliance with 37 CFR 1.63);
2. Statutory Basic filing fee of \$760.00;
3. Late Filing Fee Surcharge of \$130.00;
4. Extra claim fee of \$354.00;
5. Copy of the Notice;
4. Assignment and fee.

Please charge Deposit Account No. 50-0385 in the amount of \$1,244.00. To the extent  
necessary, Applicants petition for an extension of time under 37 C.F.R. 1.136. Please charge any

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shortage in fees due in connection with the filing of this paper, including extension of time fees,  
to Deposit Account 50-0385 and please credit any excess fees to such deposit account.

It is requested that the official filing receipt now be issued.

Respectfully submitted,

MCDERMOTT, WILL & EMERY



Christopher J. Palermo  
Registration No. 42,056

600 13<sup>th</sup> Street, N.W.  
Washington, DC 20005-3096  
(408) 271-2300 CJP:ka  
**Date: December 10, 1999**  
Facsimile: (408) 271-2310

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231

on 12-13-99 by Amin Alca



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

12/21/99

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/408,420 09/29/99 SRIVASTAVA

S 50325-076

CHRISTOPHER J PALERMO  
MODERMOTT WILL & EMERY  
600 13TH STREET N W  
WASHINGTON DC 20005-3906



NOT ASSIGNED

2766

DATE MAILED:

10/21/99

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 244.

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 260 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 198 for 11 total claims over 20.

\$ 150 for 2 independent claims over 3.

\$        for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

*Washington*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

12/20/1999 CCETIM 0000037 500305 09400420

01 FC:101 760.00 CH  
02 FC:103 130.00 CH  
03 FC:102 155.00 CH  
04 FC:103 155.00 CH